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APPLICATION NO. .	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/645,737	08/21/2003	Ronald Buford Sheppard	71179/US03	9159

7590 04/19/2004  
Steven A. Owen  
Eastman Chemical Company  
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EXAMINER

OH, TAYLOR V

**RECEIVED**

ART UNIT PAPER NUMBER

1625

APR 22 2004

DATE MAILED: 04/19/2004

EASTMAN CHEMICAL COMPANY  
LEGAL DEPARTMENT

Please find below and/or attached an Office communication concerning this application or proceeding.

ENTERED IN PITS

*Edh*

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/645,737	SHEPPARD ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Taylor Victor Oh	1625	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

**A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.**

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 January 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>1/9/04</u> . | 6) <input type="checkbox"/> Other: _____  |

### The Status of Claims

Claims 1-31 are pending.

Claims 1-31 have been rejected.

### ***Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1, 3-9, 11-14, 16-24, 26-27, and 29-31 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-26 of copending Application No. 10/645,734. Although the conflicting claims are not identical, they are not patentably distinct from each other because their corresponding claims are very similar to each other except the steps (b) and (c) in claims 1 and 11 and the steps (e) and (f) in claims 15-16 and 26 with respect

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to cooling the purified carboxylic acid and filtering and drying the purified carboxylic acid in the prior art. These are directly related to the optimization process. This does not impart patentability to a process because it would have been obvious to the skilled artisan in the art to have motivated to reduce the unnecessary extra steps, such as , cooling the purified terephthalic acid and filtering and drying the purified terephthalic acid in order to economize the reaction process.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 21,27, and 30 are rejected under 35 U.S.C. 112, first paragraph, because according to the specification, while being enabling for a catalyst, such as cobalt, manganese, bromine compounds, does not reasonably provide enablement for all the oxidation catalyst components in the field of chemistry. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly

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connected, to all the catalysts unrelated to the current invention commensurate in scope with these claims.

Furthermore, the instant specification fails to provide information that would allow the skilled artisan to practice the instant invention without undue experimentation.

Attention is directed to *In re Wands*, 8 USPQ2d 1400 (CAFC 1988) at 1404 where the court set forth the eight factors to consider when assessing if a disclosure would have required undue experimentation, citing *Ex Parte Forman*, 230 USPQ 546 (BdApls 1986) at 547 the court recited eight factors:

- 1) the quantity of experimentation necessary,
- 2) the amount of direction or guidance provided,
- 3) the presence or absence of working examples,
- 4) the nature of the invention,
- 5) the state of the prior art,
- 6) the relative skill of those in the art,
- 7) the predictability of the art, and
- 8) the breadth of the claims.

In the instant case, the claim encompasses various catalysts. However, applicants' specification provide only one Co, Mn, Br catalyst system in two examples.

Furthermore, the catalyst compositions represent an unpredictable aspect in the art of organic chemistry . See *Exparte Sizto*, 9 USPQ2d 2081 (Bd. Of App. And Inter. March

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1988). Thus, the specification herein have failed to provide sufficient working examples to support the use of various catalysts. Therefore, an appropriate correction is required.

Claims 1-2,13 , 18-19, 21,23, and 27 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The phrases " a crystallized product\* " , " a staged oxidation product", and" impurities" have used in the claims. They are vague and indefinite as they do not specifically describe what they are made up of . An appropriate correction is required.

***Claim Rejections - 35 USC § 102***

**2113 Product-by-Process Claims**

**PRODUCT-BY-PROCESS CLAIMS ARE NOT LIMITED TO THE MANIPULATION OF THE RECITED STEPS, ONLY THE STRUCTURE IMPLIED BY THE STEPS**

"Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." In re Thorpe, 77 F.2d 695,698,227 USPQ 964, 966 (Fed. Cir. 1985) (citations omitted) (Claim was directed to a novolac color developer. The process of making the developer was allowed. The difference between the inventive process and the prior art was the addition of metal oxide and carboxylic acid as separate ingredients instead of adding the more expensive prereacted metal carboxylate. The product-by-process claim was rejected

because the end product, in both the prior art and the allowed process, ends up containing metal carboxylate. The fact that the metal carboxylate is not directly added, but is instead produced in-situ does not change the end product.).

I. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –  
(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

1. Claim 26 is rejected under 35 U.S.C. 102(b) as being anticipated clearly by Zeitlin et al (U.S. 5,095,146).

Zeitlin et al teaches a process of obtaining terephthalic acid with impurities of 150 ppm p-toluic acid content (see col. 8, lines 5-14) by crystallization using flash evaporation of solvent in 3 to 6 stirred crystallization zones (see col. 4, lines 29-36). This is identical with the claim.

### ***Claim Rejections - 35 USC § 103***

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

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not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Scott et al (U.S. 4,158,738) in view of Zeitlin et al (U.S. 5,095,146).

Scott et al teaches a process of producing terephthalic acid by oxidation of para xylene in the following steps of :

- a. feeding a mixture of p-xylene, a lower monocarboxylic acid solvent, and water in the presence of cobalt and manganese, and bromine into an oxidation reactor at 210<sup>0</sup> C (see col . 2 ,lines 42-56) in the presence of oxygen;
- b. removing the product as a slurry from the oxidizer;



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- c. feeding the slurry of the terephthalic acid mixture to two additional crystallizers where the product is cooled to 105<sup>0</sup> C;
- d. purifying the slurry of the terephthalic acid by filter (see col. 8 ,line 56), centrifuges and dryers (see col .3 ,lines 39-62).

Furthermore, as a result of the process, the TPA "b" is in the range of from 0.9 to 2.0 (see col. 15 , table III ).

Scott et al, however, differs from the instant invention in that the type of the centrifuge and the pressure and the temperature, at which the centrifuge are operated , are unspecified; the process is continuous.

Zeitlin et al teaches a process of obtaining terephthalic acid with impurities of 150 ppm p-toluic acid content (see col. 8, lines 5-14) by crystallization using flash evaporation of solvent in 3 to 6 stirred crystallization zones (see col. 4, lines 29-36). Furthermore, the slurry produced in each stirred zone is charged continuously to the centrifuge and in all operations, the centrifuge and the last stirred zone are at the temperature of 149<sup>0</sup> C and pressure of 67 psig. (see col. 6, lines 51-59). In addition, the reference indicates that small quantities of water added to one or more crystallizers can have a significant effect on the reduction of impurities in the crude terephthalic acid (see col. 3 ,lines 36-38).

With respect to the types of the centrifuge, the references are silent. However,

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this is directly related to the mechanical expediciencies. Therefore, it would have been obvious to the skilled artisan in the art to have motivated to optimize the reaction process by upgrading the centrifuge with the specific types. This is because the skilled artisan in the art would expect the reaction process to be facilitated by employing such centrifuges.

Scott et al does teach the process of producing terephthalic acid by oxidation of para xylene in the reactor in the presence of acetic acid solvent containing cobalt and manganese, and bromine and further purifying the resultant slurry of the terephthalic acid by a filtration or centrifuge, and a drying process. Also, Zeitlin et al does teach the process of obtaining terephthalic acid with impurities of 150 ppm p-toluic acid content by crystallization using flash evaporation of solvent ; also, it indicates that small quantities of water added to one or more crystallizers can have a significant effect on the reduction of impurities in the crude terephthalic acid (see col. 3 ,lines 36-38).

Both processes have commonly involved in the purification of terephthalic acid by crystallization. Scott et al expressly describes the use of the centrifuge in the process of isolating terephthalic acid, whereas Zeitlin et al has focused the operations of the centrifuges and each stirred zone with the addition of water in the recovery of the terephthalic acid; furthermore, in doing so, there is a significant effect on the reduction of impurities in the crude terephthalic acid (see col. 3 ,lines 36-38).

Therefore, it would have been obvious to the skilled artisan in the art to have motivated to incorporate the teaching of Zeitlin's et al adding water to the crystallizer into the Scott et al process for the purpose of obtaining the purified terephthalic acid acceptable for the manufacture of fibers.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Streich et al (U.S. 5,175,355) discloses a preparation of purified terephthalic acid containing 200 ppmw or less of p-toluic acid by using pressure filtration to recover the pure terephthalic acid from an aqueous slurry containing the crystallized TA and p-toluic acid .

Janulis (U.S. 5,110,984) discloses a purification method for isophthalic acid in the following steps: (a) dissolving crude isophthalic acid in a feed solution containing a polar solvent at a temperature of from 100<sup>0</sup> C to 300<sup>0</sup> C., (b) crystallizing the isophthalic acid by cooling, (c) separating the isophthalic acid and drying it, (d) recycling the remain liquid portion after the separation of the isophthalic acid crystals.

Puskas et al (U.S. 4,467,111) discloses a process for producing a purified terephthalic acid by reacting a mixture of hydrogen and crude terephthalic acid in the presence of a palladium metal catalyst on a porous carbonaceous support at a temperature of from 100<sup>0</sup> C. To 300<sup>0</sup> C. .

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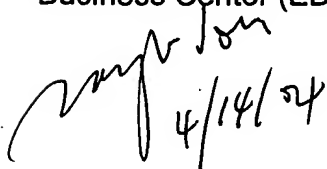
Meyer (U.S. 3,584,039) discloses a process of producing fiber-grade terephthalic acid from a crude terephthalic acid containing 4-carboxybenzaldehyde which was obtained by the catalytic liquid phase oxidation of p-xylene with molecular oxygen.

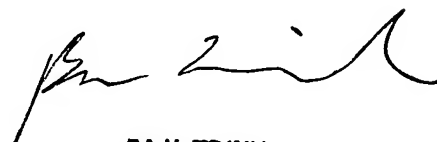
Nienburg et al (U.S. 3,799,976) discloses a process for purifying terephthalic acid by a reductive treatment an aqueous solution containing a crude terephthalic acid and formic acid in the presence of a noble metal catalyst such as osmium, iridium, and ruthenium at an elevated temperature of 230<sup>0</sup> C..

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Taylor Victor Oh whose telephone number is 571-272-0689. The examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Mckane can be reached on 571-272-0699. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

 4/14/04



BA K. TRINH  
PRIMARY EXAMINER  
GROUP 1200 1625



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Substitute for form 1449

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number 71179/US03

First Named Inventor Sheppard et al.

COMPLETE IF KNOWN

Application Number 10/645,737

Filing Date August 21, 2003

Group Art Unit 1625

Sheet

1

of

1

Examiner Name Taylor V. Oh

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
JP		3,584,039		Meyer	06-08-1971
		3,996,271		Yokota et al.	12-07-1976
		4,158,738		Scott et al.	06-19-1979
		4,939,297		Browder et al.	07-03-1990
		U.S. Application No.: 10/645,734		Sheppard et al.	Filed 08-21-2003
		U.S. Application No.: 10/667,744		Sumner et al.	Filed 09-22-03

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
JP		JP	2001-139514	A	Mitsubishi Chemical Ind. Ltd.	05-22-2001	✓
		JP	2001-247511		Mitsubishi Chemical Ind. Ltd.	09-11-2001	✓
		JP	2001-288139		Mitsubishi Chemical Ind. Ltd.	10-16-2001	✓

Examiner  
Signatur

Taylor V. Oh

Date  
Considered

4/1/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<b>Notice of References Cited</b>	Application/Control No. 10/645,737	Applicant(s)/Patent Under Reexamination SHEPPARD ET AL.	
	Examiner Taylor Victor Oh	Art Unit 1625	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-4,158,738	06-1979	Scott et al.	562/416
	B	US-5,095,146	03-1992	Zeitlin et al.	562/486
	C	US-5,175,355	12-1992	Streich et al.	562/485
	D	US-5,110,984	05-1992	Janulis, Rose M.	562/487
	E	US-4,467,111	08-1984	Puskas et al.	562/487
	F	US-3,584,039	06-1971	Meyer	562/486
	G	US-3,799,976	03-1974	Nienburg et al	562/487
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.